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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

01-11-01
A
Attorney Docket No. S01.12-0669

First Inventor or Application Identifier Lanshi Zheng et al.

Title DISC HEAD SLIDER HAVING VERTICALLY CONTOURED FEATURES AND METHOD OF FABRICATING VERTICALLY CONTOURED FEATURES ON A SLIDER

Express Mail Label No. EL636051805US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Sheets 24]
(preferred arrangement set forth below
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 4]

4. Oath or Declaration [Total Sheets 3]
a. Newly executed (original or copy)
b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (Identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of Attorney
9. English Translation Document
10. Information Disclosure Statement (IDS/PTO – PTO) Copies of IDS
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
13. *Small Entity Statement(s) Statement filed in prior application.
(PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Request and Cert. Under 35 USC 122 (Non-Pub)
16. Other: Checks for \$790.00 and \$40.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation –in part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

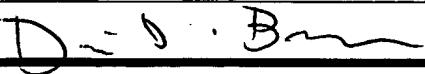
17. CORRESPONDENCE

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

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Country	USA	Telephone	(612) 334-3222		Fax (612) 334-3312

Name (Print/type)	David D. Brush	Registration No. (Attorney/Agent)	34,557
Signature			Date 1/9/01

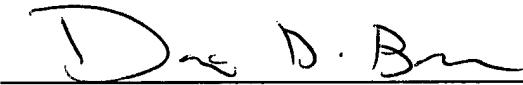
**REQUEST AND
CERTIFICATION UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Lanshi Zheng et al.
Title	DISC HEAD SLIDER HAVING VERTICALLY CONTOURED FEATURES AND METHOD OF FABRICATING VERTICALLY CONTOURED FEATURES ON A SLIDER
Atty Docket Number	S01.12-0669

09/15/01
01/09/01

I hereby certify that the invention disclosed in the attached application **has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing**. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1/9/01
Date


Signature

David D. Brush
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

FEE TRANSMITTAL

		Complete If Known		
		Application No.		
		Filing Date	Heath	
		First Named Inventor	Lanshi Zheng et al.	
		Title	DISC HEAD SLIDER HAVING VERTICALLY CONTOURED FEATURES AND METHOD OF FABRICATING VERTICALLY CONTOURED FEATURES ON A SLIDER	
		Group Art Unit		
Examiner Name				
Total Amount of Payment \$ 790	Atty. Docket Number S01.12-0669			
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)		
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champkin & Kelly, P.A.		3. ADDITIONAL FEES		
2. <input checked="" type="checkbox"/> Check Enclosed				
FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity		Small Entity		
Fee	Fee	Fee	Fee	
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	
Fee Description				
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee
				Subtotal (1) \$ 710
2. EXTRA CLAIM FEES				
Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	20	20	0	18 0
Indep.	4	3	1	80 80
Multiple Dependent Claims				
** Insert 3 and 20, or number previously paid if greater; Reissue see below				
Large Entity		Small Entity		
Fee	Fee	Fee	Fee	Description
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	
Description				
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent Claims
109	80	209	40	Reissue Independent Claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
				Subtotal (2) \$ 80
				Subtotal (3) \$
Signature <u>D. D. Brush</u>		Reg. No. <u>34,557</u>		
Date <u>1/9/01</u>		Deposit Account No. <u>23-1123</u>		